



JPW

Docket No. 1232-5351

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Tsuyoshi WAKAZONO

Group Art Unit: 2851

Serial No.: 10/807,629

Examiner: Unassigned

Filed: March 23, 2004

For: DRIVE CONTROL APPARATUS FOR OPTICAL APPARATUS

INFORMATION DISCLOSURE STATEMENT UNDER 1.97

Mail Stop _____
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Sir:

Pursuant to Rule 56, applicant hereby calls the attention of the Patent Office to the references listed on the attached Form PTO 1449. Copy(ies) of these references ☒ are attached ☐ were were cited in related application U.S. Serial No(s) _____, filed _____, respectively.

- ☐ This document is being filed within three (3) months of the filing date of the application
- ☐ A check for the requisite fee of \$180 is enclosed.
- ☐ This document is being concurrently filed with the above-identified RCE application
- ☒ This document is being filed prior to a first Office Action.
- ☒ This document is accompanied by a Search Report/Communication cited in a corresponding PCT or foreign counterpart application.
- ☒ Applicants do not believe any fee is due with this Submission. However, the Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-4503, Order No. 1232-5351 A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

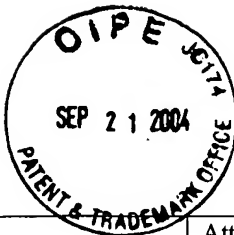
Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: September 21, 2004

By: _____

Brian W Brown
Registration No. 47,265
(202) 857-7887 Telephone
(202) 857-7929 Facsimile

Correspondence Address:
MORGAN & FINNEGAN, L.L.P.
3 World Financial Center
New York, NY 10281-2101



FORM PTO-1449

Attorney Docket:
1232-5351Serial No.:
10/807,629Applicant:
Tsuyoshi WAKAZONOFiling Date:
March 23, 2004Group Art Unit:
2851

INFORMATION DISCLOSURE CITATION

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Sub-Class	Filing Date

FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Sub-Class	Translation
0 439 219 A2	07/31/1997	Europe			N/A
5-236330	09/10/1993	Japan			Abstract
5-45554	02/23/1993	Japan			Abstract
10-206718	08/07/1998	Japan			Abstract
62-247316	10/28/1987	Japan			Abstract

OTHER DOCUMENTS (Including Author, Title, Date, etc.)

	European Search Report (06/04/2004).

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.